

## Brochure Supplement (Part 2B of Form ADV)

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### **Education and Business Standards**

Crimson Management requires that advisors in its employ have a bachelor's degree and further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP®, a CFA, a ChFC, JD, CTFA, EA or CPA. Additionally, advisors must have work experience that demonstrates their aptitude for financial planning and investment management.

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### **Professional Certifications**

Employees have earned certifications and credentials that are required to be explained in further detail.

Certified Financial Planner (CFP): Certified Financial Planners are licensed by the CFP Board to use the CFP mark. CFP certification requirements:

- Bachelor's degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP Board ([www.cfp.net](http://www.cfp.net)).
- Successful completion of the 10-hour CFP® Certification Exam.
- Three-year qualifying full-time work experience.
- Successfully pass the Candidate Fitness Standards and background check.

Chartered Financial Analyst (CFA): Chartered Financial Analysts are licensed by the CFA Institute to use the CFA mark. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent education or work experience.
- Successful completion of all three exam levels of the CFA Program.
- Have 48 months of acceptable professional work experience in the investment decision-making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
- Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.

Enrolled Agent (EA): Enrolled Agents are enrolled by the Internal Revenue Service and authorized to use the EA designation. EA enrollment requirements:

- Successful completion of the three-part IRS Special Enrollment Examination (SEE), or completion of five years of employment by the IRS in a position which regularly interpreted and applied the tax code and its regulations.
- Successfully pass the background check conducted by the IRS.

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**Dean Putterman**

Educational Background:

- Date of birth: 5/7/1962
- A.B. Economics, cum laude, Harvard College, 1984
- CFP 1994

Business Experience:

- Owner, Crimson Management (1996 – present)

Dean Putterman's contact information:

713-525-1520 deanp@crimsonmanagement.com

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**Sharon Lederer**

Educational Background:

- Date of birth: 5/19/1963
- B.A. Humanities, University of Illinois, 1986

Business Experience:

- Sales Assistant, Merrill Lynch (1986 – 1988)

Disciplinary Information: None

Supervision:

Sharon Lederer is supervised by Dean Putterman, owner. He reviews Sharon Lederer's work through frequent office interactions as well as remote interactions. He also reviews Sharon Lederer's activities through our client relationship management system.

Sharon Lederer's contact information:

713-702-3564 sharon@crimsonmanagement.com

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**Matthew Broussard**

Educational Background:

- Date of birth: 4/6/1988
- B.A. Computational and Applied Mathematics, Rice University, 2010

Business Experience:

- Enrollment Specialist, Reasoning Mind (2010 – 2011)

Disciplinary Information: None

Supervision:

Matthew Broussard is supervised by Dean Putterman, owner. He reviews Matthew Broussard's work through frequent office interactions as well as remote interactions. He also reviews Matthew Broussard's activities through our client relationship management system.

Matthew Broussard's contact information:

770-855-5282 [matthew@crimsonmanagement.com](mailto:matthew@crimsonmanagement.com)